

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/754,419	01/05/2001	Wolfgang Daum	9D-RG-19394-Daum

General Electric Company  
Appliance Park 2-225  
Louisville, KY 40225

RECEIVED

JUL 01 2002

OFFICE OF PETITIONS

CONFIRMATION NO. 3143

FORMALITIES LETTER



\*OC000000005931114\*

Date Mailed: 04/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$160.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 290.

06/28/2002 AWONDAF1 00000101 012384 09754419

01 FC:105

130.00 CH

01 FC:102

168.00 CH

The following item(s) appear to have been omitted from the application:

- Figure(s) 5,6 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

---

*A copy of this notice MUST be returned with the reply.*

---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED

JUL 01 2002

OFFICE OF PETITIONS

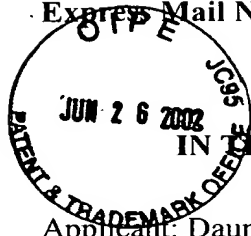


ATTORNEY IN CHARGE		INVENTOR(S)		PATENT NO.		DOCKET NO.	
ATTORNEY OF RECORD		<i>W. J. G. - Denver</i>		SERIAL NO.		DOCKETED	
COMMITTEE RATING		TITLE		FILED		RECORDED	
DEPT. CHARGED		<i>Arbitrating - Clark - Synchrograph System</i>		DIV. NO.		REEL	
DEPT. OF ORIGIN		DATA ON FOREIGN-ORIGINATED CASES & ASSIGNEE OF RECORD (IF NOT G.E. CO.)		ALLOWED		RELATED DIV. OR CONT.	
BUS. ADDR. OF INV.(S)		EXC'N DATE		SENT TO PATENT OFF.		ISSUED	
		BONUS NOTIFICATION		SUPPL. OATH REQ.		G. E. CLASS NUMBER	
		FILING FEE REQ.					
		INFO. TO IGE.					
		ACTIONS		ACTIONS			
		1 <i>Received 8/25/60 5N 660229973</i>		16			
		2 <i>Filing Receipt</i>		17			
		3 <i>Application filed 11/5/61</i>		18			
		4 <i>Filing Receipt</i>		19			
				20			
				21			
				22			
				23			
				24			
				25			
				26			
				27			
				28			
				29			
				30			

#9

Express Mail No.: EV 130048906 US

9D-RG-19394  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Daum

Serial No.: 09/754,419

Filed: January 5, 2001

For: ARBITRATING CLOCK  
SYNCHRONIZATION SYSTEM

:  
: Art Unit:  
:  
: Examiner:  
:  
:  
:  
:

RECEIVED  
JUL 01 2002  
OFFICE OF PETITIONS

REQUEST FOR APPROVAL OF DRAWING CHANGES

Box DAC  
Hon. Commissioner for Patents  
Washington, D.C. 20231

Pursuant to 37 C.F.R. § 1.121(d), Applicant hereby submits seven (7) drawing sheets for Figures 1-8 for examination in the above-referenced application. Submitted herewith are eight drawings of record showing the proposed changes in red permanent ink for approval by the Examiner. Specifically, new Figures 5 and 6 have been added, and reference labels have been added to Figures 1, 2, 3, 4, 7, and 8. Figures 1-8 are fully supported in the specification, and accordingly, Applicant submits that no new matter has been added. Applicant respectfully requests approval of the indicated drawing changes.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Thomas M. Fisher".

Thomas M. Fisher  
Registration No. 47,564  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070



06-27-02

9D-RG-19394  
PATENT

RECEIVED

JUL 01 2002

OFFICE OF PETITIONS

Applicant: Daum

Serial No.: 09/754,419

Filed: January 5, 2001

For: ARBITRATING CLOCK  
SYNCHRONIZATION SYSTEM

Art Unit:

Examiner:

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: **EV 130048906 US**

Date of Mailing: **June 26, 2002**

I certify that documents listed below:

- ◆ Petition For Withdrawal Of Holding Of Abandonment Or, In The Alternative, For Revival Of An Unintentionally Abandoned Patent Application (3 pages, *in duplicate*)
- ◆ Part 2 - Copy of the Notice To File Missing Parts Of Nonprovisional Application (2 pages)
- ◆ Seven (7) Sheets of Formal Drawings
- ◆ Enclosed is a copy of GE's file folder where the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION would have been docketed had the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION been received and docketed. (1 page)
- ◆ Preliminary Amendment (2 pages)
- ◆ Submission of Marked Up Paragraphs (1 page)
- ◆ Request For Approval Of Drawing Changes (1 page), including 7 sheets of drawing sheets with changes reflected in permanent red ink for examination purposes.
- ◆ Certificate Of Mailing By Express Mail (2 pages)
- ◆ Return Post Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box DAC, Commissioner for Patents, Washington, D.C. 20231.

Respectfully Submitted,

Thomas M. Fisher, Reg. No. 47,564  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070